

U.S. Department of Energy Heliostat Consortium for **Concentrating Solar-Thermal Power**

Extending Deflectometry Metrology Capability for CSP Randy Brost, Braden Smith, Felicia Brimigion, and Anthony Evans Sandia National Laboratories

conceptional design • components • integration • mass production • heliostat field

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Sandia Concentrating Solar Optics Laboratory (CSOL)

Mission:

Promote construction of high-performance heliostat fields, by delivering high performance, easy-to-access solutions.

Key products in the works:

- SOFAST High-resolution mirror slope measurement. Today's focus
- UFACET High-speed drone-based field inspection.
- Ground truth Simple methods or objects with known accuracy.
- OpenCSP Foundation classes, applications, and data for community development.

<u>Thanks</u>

We thank the DOE Solar Technologies Office and the Sandia LDRD program for their support.

SOFAST original developers: Chuck Andraka, Nolan Finch, Julius Yellowhair, others...



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Heliostat Optical Metrology Problems



Measuring High-Resolution Slope Maps: Background

Example prior papers:

- T. Wendelin, K. May, and R. Gee. Video Scanning Hartmann Optical Testing of State-of-the-Art Parabolic Trough Concentrators. Solar 2006 Conference (ISEC '06), Denver, Colorado, July 2006. Also NREL NREL/CP-550-39590, June 2006.
- T. März, et al. Validation of Two Optical Measurement Methods for the Qualification of the • Shape Accuracy of Mirror Panels for Concentrating Solar Systems. Journal of Solar Energy Engineering 133, August 2011.
- S. Ulmer, et al. Automated High Resolution Measurement of Heliostat Slope Errors. *Solar* Energy 85, pp. 685-687, 2011.
- C. Andraka, et al. Rapid Reflective Facet Characterization Using Fringe Reflection Techniques. Journal of Solar Energy Engineering 136, February 2014.
- N. S. Finch and C. E. Andraka. Uncertainty Analysis and Characterization of the SOFAST Mirror • Facet Characterization System. Journal of Solar Energy Engineering 136, February 2014.
- A.M. Bonanos, M. Faka, D. Abate, S. Hermon, and M.J. Blanco. Heliostat surface shape characterization for accurate flux prediction. *Renewable Energy* **142**, pp. 30-40, 2019.
- M. Montecchi, G. Cara, and A. Benedetti. VISproPT commissioning and SFERA-III WP10 Task3 round-robin on 3D shape measurements: recommended procedure and ENEA results. ENEA Report TERIN-STSN/2022/14, November 2022.
- CSP Services. QDec-M. https://www.cspservices.de/wp-content/uploads/CSPS-QDec.pdf.

DLR/CSP Services Accomplishments

DEFLECTOMETRIC MEASUREMENT SYSTEM QDEC



ec System Features			
	QDec Offline	QDec Inline	
asurement time	< 30 s	< 5 s	
luation time	< 40 s	< 10 s	
mber of measurement points indard / maximum)	≈ 250′000 / ≈ 1′000′000	≈ 250'000 / ≈ 1'000'000	
asurement uncertainty al spot / global value (RMS)	< 0.5 mrad / < 0.2 mrad	< 0.5 mrad / < 0.2 mrad	
merical output	SDx, SDy, FDx, FDy, IC, ICsun, etc.	SDx, SDy, FDx, FDy, IC, ICsun, etc.	
phical output	local slope deviation (x/y), local focus de- viation, local intercept factor, local height deviation, standard quality report (pdf)	local focus deviation	
tput database formats	standard: .csv optional: .xls / SQL	standard: .csv optional: .xis / SQL	
tional output th increase of evaluation time)	Flux distribution, reverse ray tracing, matrix data in ASCII file (.csv)	graphical output of local slope deviation (x/y), local focus deviation, local intercept factor, local height deviation, standard report (pdf), flux distribution, reverse ray tracing, matrix data in ASCII file (.csv)	
https://www.cspse	rvices de/wn-content/u	ploads/CSPS-ODec.pdf	



SOFAST



Basic SOFAST Elements



Improvements

Foundation:

- Software quality:
 - \circ Modularity.
 - ${\rm \circ}\,$ Separate data acquisition and analysis.
 - \circ Revision control.
 - $\ensuremath{\circ}$ Automated test suite.
- Streamlined calibration.
- Sensitivity characterization.
- Accuracy cross-checks.

Increase value:

- New analytics.
- Flexibility.
- Measure effect of operating conditions.

Increase impact:

- Industrial support.
- Mobile SOFAST.
- Educational version.
- Easy access open source release planned.

Green indicates work in progress.

SOFAST Configurations



Streamlined Calibration

There are six calibration steps required for a SOFAST measurement:





Fringe #5:

The new SOFAST separates data acquisition from data analysis. This enables optimization of factory production cycle time, facilitates software quality control, and also greatly increases analysis flexibility.

Projected Onto Tower

Seen in Reflection



Fringe #6:

Projected Onto Tower





Fringe #9:

Projected Onto Tower

Seen in Reflection



Fringe #10:

Projected Onto Tower

Seen in Reflection



Fringe #13:





Fringe #14:

Projected Onto Tower

Seen in Reflection



Fringe #23:



Projected Onto Tower



Nikon D3300, f = 107 mm

Seen in Reflection

Fringe #27:



Projected Onto Tower



Nikon D3300, f = 107 mm

Seen in Reflection

Fringe #28:



Projected Onto Tower





Projected Onto Tower

Fringe #29:

Seen in Reflection





Computation 1: Primary Slope

A. Fringe intensity adjustment.



B. Mirror-to-screen point correspondence.



Measured X Slope nrad

Result:





Computation 2: Slope Analysis



Direct: Slope Components



Derived: Slope Magnitude



Derived: Measurement Details

Mirror:	NSTTF Facet N-001
Instrument:	SOFAST Landscape
Date/time:	2022-07-28 12:01
Sample points:	Grid
Number points:	450,000
Resolution X:	1.9 mm/pt
Resolution Y:	1.8 mm/pt
Uncertainty:	±TBD mrad

Derived: Curvature



Measured Y Curvature



Computation 3: Error Analysis



0.51 mrad

0.97 mrad



Ray Trace Analysis





Output Summary: NSTTF Facet





Output Summary: NSTTF Heliostat 5W01

y (meter)



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TT 🖏

Measurement Quality*

Resolution:

670 × 670 450,000 points 2 mm regular spacing High-frequency detection

Precision:

pending Depends on configuration

Accuracy:

Work in progress.

Cross-check with ground truth:

- 2f returned spot \rightarrow agreement
- 2f color target \rightarrow agreement
- Plano water pool \rightarrow 0.17 mrad RMS
- Precision glass \rightarrow pending

Automated uncertainty estimation \rightarrow pending

Calibration refinement \rightarrow pending

High-Frequency Detection

Example Reflection







2f Returned Spot

2f Returned Spot Image



SOFAST Ray Trace

2f Color Target





Images are same scale.

* All values are approximate, and specific to this example. Varies with mirror, configuration. Green implies "still working on this."

On-Going Work

Addressing unsolved problems, creating new capabilities:

Measure optical response under operating conditions.
See backup slides.

Available for industry support

Increasing benefit:

- Ease of use.
- Industrial support.
- Educational version.
- Easy access OpenCSP, Open SOFAST.

Our goal is to maximize benefit to CSP industry, research, education.

Education:





Conclusion

- SOFAST delivers rapid, high-resolution slope maps, supporting:
 - \circ Prototype development.
 - High-volume manufacturing.
 - $\,\circ\,$ In situ inspection (limited).
 - \circ Education.
- SOFAST is distortion-tolerant, and supports a variety of output analyses.
- Key limitations are the need for a large screen, a steady scene, ambient light control.
- We have been improving:
 - Flexibility interactive CAD tool, reduced installation constraints, mobility.
 - $\,\circ\,$ Quality code maintainability, automated testing, documentation.
 - Accuracy developing ground-truth verification techniques.
 - Access Python implementation, OpenCSP release planned.
- Ongoing work:
 - $\,\circ\,$ Automated sensitivity analysis.
 - $\,\circ\,$ Measure optical response under operating conditions.
 - $\,\circ\,$ Further develop SOFAST Tower, use as cross-check for other methods.









Our goal is to maximize benefit to the CSP community – industry, research, education.



BACKUP SLIDES

On-Going Work

Material for HelioCon Review

Addressing unsolved problems:

- Temperature optical effect?
- Tilt angle optical effect?
- Available for industry support

• Mobile SOFAST.

Increasing benefit:

- Ease of use.
- Industrial support.
- Educational version.
- Easy access OpenCSP, Open SOFAST.

Our goal is to maximize benefit to CSP industry, research, education.

Related work:

¹ Sartori, et al. Composite Mirror Shape Deviations Due to Temperature Changes. AIP Conference Proceedings **2303**, December 2023. ² Yuan, et al. Compensation of Gravity Induced Heliostat Deflections for Improved Optical Performance. *Solar Energy Engineering*, 2015.

Temperature:¹





CFV Labs Chamber: $-40^{\circ}C \rightarrow +85^{\circ}C$ SOF

SOFAST Layout with Temperature Chamber



Mobile:



Education:





SOFAST Tilt – Fixed Screen

Limiting increments per camera position:





Example: 10° increments:



Sensitivity: High Frequency

- We have observed high-frequency reflection effects in several mirrors from multiple manufacturers.
- These effects can influence reflectivity and energy production.
- SOFAST readily detects these effects, which other position-based metrology approaches may have a difficult time detecting.



NSTTF Tower



Tower Edge Seen in Reflection



Example SOFAST measurement:





Sensitivity: Print-Through and High Frequency







0.03

- 0.02

0.01

0.00

-0.01

-0.02

-0.03

mrad / meter

Heliostat Back Side

SOFAST is capable of detecting very fine features, both spatially across mirror, and in terms of slope deviation.

Ambient Light Variation



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Background illumination reduces the available dynamic range for projecting fringes.

We measured the amount of background illumination in terms of "fringe visibility" v, instead of units such as lumens.

•
$$\mathbf{v} = \left(\frac{I_{max} - I_{min}}{I_{max} + I_{min}}\right)$$

 Visibility can take mirror/screen reflectivity into account when determining proper SOFAST illumination.

We found that SOFAST can produce accurate slope calculations for fringe visibilities > 0.6.



DN_{cam,0}*1.5

Projector DN

Brighter background

Ground Truth Methods

Strachan's Observation¹



BCS



¹ J. Strachan. Revisiting the BCS..., Sandia Technical Report SAND92-2789C, 1992.

Ground Truth Check: NSTTF Facet



2f Color Target

BCS





Images are same scale. Image capture and ray trace both June 30, 2022 at 2:40 PM.

2f Returned Spot









2f Target Direct Image - 3 mrad - 0 SOFAST ∟3 Slope Error Magnitude - 2 mrad -0

Quantitative comparison is work in progress.

* NSTTF facets are adjustable. SOFAST was used to set focal length to 100 m, as measured by SOFAST.

-0.5

0.0

X (meter)

0.5

1.0

Ground Truth Physical Standards

- Ground truth physical standards are objects where you know what the measurement result should be. If you use an instrument to take a measurement and the answer is not what's expected, you know the problem is with the instrument.
- The best physical ground truth standards are low cost and easy to replicate anywhere a Dewar with ice water for calibrating temperature is a familiar example.
- Other ground truth physical standards are standard references that are prepared by laboratories with certified equipment. These need to be checked periodically to ensure that they have not degraded.
- We are pursuing ground truth standards of both kinds.
 - 1. A **plano water pool** is easy to replicate and reliable if vibrations are not present. This appears well-known in CSP (e.g., T. März, et al. 2011). It has two disadvantages:
 - It only works face-up, and cannot be used to calibrate instruments that measure mirrors in other orientations.
 - It has virtually zero curvature, and thus cannot be used to assess an instrument's ability to measure curvature – an important aspect for CSP metrology.
 - 2. We are purchasing a <u>high-quality concave mirror</u> produced by a manufacturer of optics made to imaging tolerances. It is a monolithic glass disk 625 mm in diameter and 40 mm thick, with a concave spherical optical surface with a curvature radius R = 200 m, corresponding to a 100 m focal length. We have placed a contract with Cosmo Optics, and delivery is expected sometime this summer.

The returned spot test will be a simple, effective method for checking the mirror.

Plano Water Pool



<u>f = 100 m Calibration Mirror Design</u>



Plano Water Pool Test

Water pool ground truth measurement done on March 8, 2023.

Improvements:

- Better water setup.
- A photogrammetric screen calibration was done the same day.
- No occlusions in field of view of water pool.

Notes:

- Calibration parameters were optimized via gradient descent algorithm.
- Fitting equation was constrained to plano surface.



X RMS: 0.131496 mrad Y RMS: 0.113820 mrad Magnitude RMS: 0.173914 mrad

Optimized Slope Map





Distortion Tolerance

- CSP mirrors can exhibit highly distorted reflections.
- Feature-based correspondence methods are vulnerable to confusion in mapping, given a distorted image.
- In contrast, SOFAST uses a pixel-based correspondence mapping scheme which is fundamentally immune to distortion.

Distortion Example





Reflected distortion depends on conditions.



Feature-Based Correspondence

Excessive distortion can cause feature recognition to fail.

SOFAST Fringes and Distorted Reflection



CSP Mirror Distortion Effects

Photograph, then crop

hm=50, cm=7

Varying Heliostat-to-mirror and camera-to-mirror distance:



Conclusion:

Distortion increases with heliostat-to-mirror distance, and also with camera-to-mirror distance.

110 m 90 m 70 m **Camera to Mirror Distance** 50 m 30 m 20 m 10 m 20 m 30 m 50 m 70 m 110 m Heliostat to Mirror Distance -----

Tower-to-Mirror Distortion

Single facet method:



Images captured at 25, 50, 100, and 150 m from the mirror. Lateral moves at each point, to simulate UAS scan.

Flat mirror



Photograph, then crop to facet





A flat mirror was used, so that:

(a) Non-imaging optic distortion would not occur, and

(b) Focal length mismatch would not be an issue.

Distortion: Tower-to-Mirror vs. Camera-to-Mirror Distance



** camera-to-mirror = 80 m

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Modeling Mirror Distortion





After several derivation steps:

$$y_R = \frac{-2 d_T d_C \cos(\epsilon) \sin(\epsilon)}{d_T \cos(\theta_i - \epsilon) + d_C \cos(\theta_i + \epsilon)}$$



 $\theta_{\rm i}$ - $\theta_{\rm r}$ = 7 × 10⁻¹³ mrad

Modeling Mirror Distortion



\checkmark 1. Sign of the error is correct for our example.

2. Distortion grows linearly with slope error ϵ . (Within small angle assumption)

3. As the incidence angle
$$\theta_i$$
 becomes very high, distortion grows rapidly.

- 4. Distortion grows with both target-to-mirror and camera-to-mirror distance.
- 5. Distortion grows rapidly (with the square) of the total optical path length.
- 6. Both target-to-mirror and camera-to-mirror distance have a symmetric effect on distortion, if both are similar magnitude.

If $d_T >> d_C$:

$$y_R = \frac{-2 d_T d_C \epsilon}{\cos(\theta_i) [d_T + d_C]} \approx d_T \implies y_R = \frac{-2 d_C \epsilon}{\cos(\theta_i)}$$

7. Matches long-distance tower-to-mirror observations.

This explains our observations:

- 1. For heliostat-to-heliostat reflections, distortion grows with both target-to-mirror and camera-to-mirror distance.
- 2. For tower-to-mirror reflections, distortion grows primarily with camera-to-mirror distance.

<u>Computer verification</u>: Approximation error for ϵ = 10 mrad: 0.004%, 0.0002% for two cases studied. (Percentages calculated with respect to smaller of d_T , d_C .) 43

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