

U.S. Department of Energy Heliostat Consortium for **Concentrating Solar-Thermal Power**

High-Speed Assessment of Heliostat Fields without Disrupting Operations Randy Brost, Dan Small, David Novick, Benjamin Bean Sandia National Laboratories

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Sandia Concentrating Solar Optics Laboratory (CSOL)

Mission:

Promote construction of high-performance heliostat fields, by delivering high performance, easy-to-access solutions.

Key products in the works:

- SOFAST High-resolution mirror slope measurement.
- UFACET High-speed drone-based field inspection. Today's focus
- Ground truth Simple methods or objects with known accuracy.
- OpenCSP Foundation classes, applications, and data for community development.

<u>Thanks</u>

We thank the DOE Solar Technologies Office and the Sandia LDRD program for their support.

TEAM

- Randy Brost
- Braden Smith

Manager:

Margaret Gordon

Students:

- Ben Bean
- Felicia Brimigion
- Madeline Hwang
- Tristan Larkin
- Estevan Rodrigues

Staff:

- Lam Banh
- Roger Buck
- Robert Crandell
- Anthony Evans
- Luis Garcia Maldonado
- Kevin Good
- Dimitri Madden
- Dave Novick
- Daniel Ray
- Dan Small
- Benson Tso

Advance* Calibration

During construction: What corrections will be needed for accurate beam pointing?

Accelerated Calibration

During plant startup: What corrections are needed for accurate beam pointing?

In-Field Heliostat Assessment

During operation:

- Have any heliostats changed?
- Which ones?
- By how much?

* Here "Advance" means "ahead of field operation," similar to "advance notice before an event."

Heliostat Optical Metrology Problems



Measurements must be in situ, daylight, high speed.



R. A. Mitchell, G. Zhu. A non-intrusive optical (NIO) approach to characterize heliostats in utility-scale power tower plants: Methodology and in-situ validation. *Solar Energy* **209**, pp. 431-445, 2020. <u>https://doi.org/10.1016/j.solener.2020.09.004</u>

W. Jessen, et al. A Two-Stage Method for Measuring the Heliostat Offset. *SolarPACES 2020. AIP Conference Proceedings* **2445**. <u>https://doi.org/10.1063/5.0087036</u>

J. Yellowhair. Development of an Aerial Imaging System for Heliostat Canting Assessments. *SolarPACES 2020.*

F. Wolfertstetter, et al. Airborne Soiling Measurements of Entire Solar Fields with Qfly. SolarPACES 2019. AIP Conference Proceedings **2303**. <u>https://doi.org/10.1063/5.0028968</u>

J. Coventry, et al. A Robotic Vision System for Inspection of Soiling at CSP Plants. *SolarPACES 2019. AIP Conference Proceedings* **2303**. <u>https://doi.org/10.1063/5.0029493</u>

Most closely related

Precursor

Quick Overview of Approach



<u>1. Flight Plan</u>



2. Fly Drone



3. Capture Video



4. Analyze Video



5. Heliostat Analysis



6. Trajectory Analysis



Why This is Hard



<u>Safety</u>

<u>Scale</u>

Speed

Non-intrusive

High Accuracy

Vary with configuration

Lack of data

Lack of ground truth

Varying light, sky

Varying design

Heliostat motion

Optical effects

UAS position uncertainty

Flight Safety #1: Where Is the Flux?



Flux over an active heliostat field:





Flight Safety #2: What Is the Flux Limit?

Intentionally subjecting a drone to high flux:

- DJI Phantom 4 equipped with various temperature sensors, plus thermographic imaging.
- We set 1, 2, 4, and 5 heliostats on a standby aim point, and manually flew the UAS into the focus.
- Under four heliostats (< 80 kW/m²), we observed the UAS ejecting a piece of hot debris, and then the UAS departed controlled flight, losing 5 m altitude and deviating 8 m east before recovering.
- Significant damage was observed post flight. Thermographic imaging indicated that UAS skin temperature exceeded 200 °C. Flight logs listed electronic speed controller (ESC) temperatures exceeding 100 °C. GPS Heigh



Before





BCS image of UAS under high flux



Thermographic image of hot debris ejection



Trajectory logs during loss of control

See video "Rec-000009.wmv" cue 00:10 - 00:25

Why This is Hard

Safety – Solar flux, flight operations

<u>Scale</u>

Wide area – For example, Crescent Dunes is ~6 km². Large number points – Calibrating 10,000 heliostats \Rightarrow 350 million points!*

<u>Speed</u> – Calibrating 10,000 heliostats in a month \Rightarrow under 9 sec/heliostat, ~3,400 heliostat/day.*

Non-intrusive

Construction – Tower might be varying, no light on the tower.

Operation – Don't interrupt production, don't complicate operation.

<u>High Accuracy</u> – ±0.65 mrad heliostat performance,¹ tighter tolerance for metrology.

Vary with configuration – Both optical shape and pointing corrections vary with heliostat configuration.

Lack of data – Log synchronization difficult. Survey data may be unavailable, unclear, obsolete, or even the question of interest.

Lack of ground truth – How do we verify measurement results are correct?

Varying light, sky – Image processing must be robust in the face of a wide variety of conditions.

Varying design – Tower structure, height, heliostat design, size, and row spacing all vary widely between fields.

Heliostat motion

Due to tracking – Motion may occur at unpredictable intervals, may lag variably from log times. Due to wind – Heliostat flutter in the wind may cause large changes in reflected images, even for light winds.

Optical effects

Distortion – Reflected image distortion increases with camera-to-mirror and mirror-to-target distance, and may become extreme. Far-field issues – Occlusion of tower features lower than the receiver, atmospheric aberration due to thermal effects.

UAS position uncertainty – Even with RTK GPS, uncertainty in drone absolute position is substantial (e.g., ±7 cm xy, ±10 cm z).

* See backup slide notes.

¹ Ye Wang, et al, forthcoming.

Our goal is to build a system that works successfully in the face of all of these real-world issues.

>173,000 heliostats > 340,000 facets



Crescent Dunes:

>10.300 heliostats

> 360,000 facets

2.8 km

Our Approach



<u>Safety</u>

<u>Scale</u>

Wide area Large number points

Speed

Non-intrusive

- Construction
- Operation
- **High Accuracy**
- Vary with configuration
- Lack of data
- Lack of ground truth
- Varying light, sky
- Varying design
- Heliostat motion
 - Due to tracking Due to wind

Optical effects

Distortion Far-field issues \rightarrow Identify flux safety constraints, plan flights to avoid flux, rigorous flight procedures.

- \rightarrow Use high-speed UAS, design for long endurance.
- → Rich set of optical targets, video image data.
- → Efficient heliostat tour, long smooth passes with little acceleration, design flight path for high-speed data capture.
- → Design for heliostats at non-tracking positions (e.g., different time), use non-tower optical references.
- \rightarrow Operate in situ, with no change to field operations.
- → Aim for ±0.1 mrad absolute accuracy. High image resolution, view distances to maximize precision, highly redundant data.
- <u>n</u> \rightarrow Simultaneous (u_x,u_y) slope measurement. Design to measure across full heliostat working envelope.
 - → Don't assume reliable input data. Measure everything (except facet size).
 - \rightarrow Ground truth strategy and campaign.
 - → Diverse data set: multi-location, multi-day, multi-flight-mode, multi-heliostat-conditions. Design robust algorithms.
 - → Don't rely on particular heliostat or tower features. They may be absent, or unrecognizable.
 - → Capture redundant data on multiple passes, expecting motion; discard data where there is evidence of heliostat motion.
 → ?
- → Reduce camera-mirror-target distances.
- → Reduce use of far-field optical targets.

<u>UAS position uncertainty</u> → Photogrammetry using existing heliostat field features.

Flight Planning



















Flight-Ready:



Flight Execution

Unmanned Aircraft System (UAS):



Operation issues:

- Checklists:
 - Weather
 - UAS flight systems
 - Imaging devices
 - GPS RTK
 - Communications
 - □ Air space
- Energy management all systems.
- Image collection capacity.
- Post-flight temperature.
- Log data.









Flight May 13, 2021

Video: "210513-1210_NSza45_U_sony_C0039_s3m15_d825_HD.MP4" Suggested cue range: $5:40 \rightarrow 7:00$.

Data Processing

Algorithm synopsis:

- 1. Synchronize video with flight GPS log (Δt , Δx , Δy , Δz , $\Delta \alpha$, $\Delta \gamma$, $\Delta \tau$).
- 2. Use field model to predict heliostat image locations.
- 3. Identify key frames suitable for image search. Manual, for now
- 4. Search key frames for heliostat corners.
- 5. Track corners over time, exploiting temporal locality.
- 6. Identify 3-d locations of individual heliostat facets.
- 7. Compute *rough* canting angle estimates from facet locations.
- 8. Identify camera trajectory relative to each heliostat.
- 9. Align camera trajectories to estimate global drone trajectory.

10. Calculate heliostat angles seen at time of drone passage.

11. Analyze reflections to estimate slope, pointing.

Not yet

Automatic*

12. Error, state-of-health analysis.

<u>Output:</u>

- Sequence of heliostat appearances in video, with defining corners.
- As-measured model of heliostat facet positions.
- Photogrammetry-based rough canting angles.
- Heliostat (az,el) estimates, at times of drone passage.
- Computation quality test metrics.

* Automated codes are still under development. Some include "magic numbers," and have not been generalized across many examples.





Synchronization and Key Frame Identification

Manual

Algorithm synopsis:

3.

- 1. Synchronize video with flight GPS log (Δt , Δx , Δy , Δz , $\Delta \alpha$, $\Delta \gamma$, $\Delta \tau$).
- 2. Use field model to predict heliostat image locations.
 - Identify key frames suitable for image search.
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- 5. Track corners over time, exploiting temporal locality.
- 6. Identify 3-d locations of individual heliostat facets.
- 7. Compute *rough* canting angle estimates from facet locations.
- 8. Identify camera trajectory relative to each heliostat.
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- 10. Calculate heliostat angles seen at time of drone passage.
- 11. Analyze reflections to estimate slope, pointing.
- 12. Error, state-of-health analysis.

<u>Output:</u>

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- As-measured model of heliostat facet positions.
- Photogrammetry-based rough canting angles.
- Heliostat (az,el) estimates, at times of drone passage.
- Computation quality test metrics.



Synchronization Video





Video: "201203_expected_frames_locations_overlay.mp4" Suggested cue range: 2:21 \rightarrow 3:18.

Heliostat Tracking and Analysis

Algorithm synopsis:

- 1. Synchronize video with flight GPS log (Δt , Δx , Δy , Δz , $\Delta \alpha$, $\Delta \gamma$, $\Delta \tau$).
- 2. Use field model to predict heliostat image locations.
- 3. Identify key frames suitable for image search.
- 4. Search key frames for heliostat corners.

- Automatic*
- 5. Track corners over time, exploiting temporal locality.
- 6. Identify 3-d locations of individual heliostat facets.
- 7. Compute *rough* canting angle estimates from facet locations.
- 8. Identify camera trajectory relative to each heliostat.
- 9. Align camera trajectories to estimate global drone trajectory.

10. Calculate heliostat angles seen at time of drone passage.

11. Analyze reflections to estimate slope, pointing.

12. Error, state-of-health analysis.

<u>Output:</u>

- Sequence of heliostat appearances in video, with defining corners.
- As-measured model of heliostat facet positions.
- Photogrammetry-based rough canting angles.
- Heliostat (az,el) estimates, at times of drone passage.
- Computation quality test metrics.

* Automated codes are still under development.

Example manually selected key frames:





Tracking Video



18,570

12,939

2,702,019

188



Heliostat 3-d Analysis







Canting angles concave. 🗸 Canting angles progress. 🗸

Not All Results Are So Good



Good example:



Not-so-good example:

Are these measurements correct?

If not, off by how much?

These results motivated our pursuit full-heliostat ground truth.

Ground Truth Check: NSTTF Heliostat 5W01



<u>BCS</u>



SOFAST Ray Trace

Images are similar scale. Image capture and ray trace both June 30, 2022 at 2:06 PM.

Achieving this match required manual adjustment of SOFAST calibration parameters.

2f Returned Spot



2.0

1.5 -

1.0

0.5

0.0

-0.5

-1.0

-1.5

-2.0

(me

2f Returned Spot Image





* Heliostat focal length, astigmatism unknown.

SOFAST Ray Trace

-2.0 -1.5 -1.0 -0.5 0.0 0.5

X (meter)

1.0 1.5 2.0

2f Color Target

SOFAST

Slope Error Magnitude

- 4.0 - 3.5

- 3.0

-2.5

distance = 166.7 m

Note similarities (*) and discrepancies (*). Work in progress.

Full Field Trajectory Analysis

Steps:

For each heliostat:

For each frame in which heliostat fully appears:

Compute camera position relative to heliostat, using photogrammetry. Assemble results to form trajectory segments relative to the heliostat.

Rotate all heliostat (az,el) angles to align per-heliostat segments.

Register per-heliostat path segments to construct a vision-based full field trajectory. Note heliostat orientations at each drone passage time.

<u>6W4</u>

Assessment

Safety

Scale

Speed

Challenge **Our Approach** Assessment \rightarrow Plan flights to avoid flux, rigorous procedures. \rightarrow Success. Wide area \rightarrow High-speed UAS, design for long endurance. \rightarrow Success, long endurance not demonstrated. Large data volume. Large number points \rightarrow Rich set of optical targets, video image data. \rightarrow Density low compared to deflectometry. \rightarrow Efficient low-acceleration flight trajectory. → Success. 4 sec/heliostat, 1,750 heliostat/day. Endurance needed. **Non-intrusive** Construction \rightarrow Exploit non-tracking heliostat positions. \rightarrow Success. \rightarrow Scan with no change to field operations. \rightarrow Success. Operation **High Accuracy** \rightarrow Aim for ±0.1 mrad absolute accuracy. \rightarrow Accuracy analysis pending. Small heliostats may degrade accuracy. Vary with configuration → Design success, but **slope measurement not implemented.** \rightarrow Simultaneous (u_x,u_y) slope measurement. Lack of data \rightarrow Measure everything (except facet size). \rightarrow Success, but not complete. Pointing *error* requires log synchronization. Lack of ground truth \rightarrow Ground truth strategy and campaign. \rightarrow Success, but not complete. Varying light, sky \rightarrow Diverse data set, design robust algorithms. \rightarrow 168 heliostats tracked, manual key frames, only one flight. Incomplete. Varying design \rightarrow Don't rely on heliostat or tower features. \rightarrow Avoids detailed design features, but may degrade for smaller heliostats. **Heliostat motion** Due to tracking \rightarrow Design for allowing heliostats to move. \rightarrow Success, but not complete. Due to wind \rightarrow Current methods are vulnerable to heliostat flutter. \rightarrow ? **Optical effects** Distortion \rightarrow Reduce camera-mirror-target distances. \rightarrow Distortion reduced, but reflected points not complete. Still vulnerable. Far-field issues \rightarrow Reduce use of far-field optical targets. \rightarrow Avoided long distances, but indirect chain to estimate pointing direction. **UAS position uncertainty** \rightarrow Photogrammetry using existing features. \rightarrow Success, but not complete and UAS position accuracy not yet understood.

Conclusion

Drones are attractive for in-field heliostat assessment, because they can cover wide areas quickly. Productivity depends on flight data capture efficiency, endurance, and turnaround time.

Our approach is designed to overcome key challenges in drone-based heliostat metrology. We have achieved:

- Initial understanding of solar flux flight safety hazards.
- Automated flight planner.
- Efficient flight operations, gathering data for 45 flights at multiple locations.
- For one data flight:
 - Given manual key frames, automatic tracking of 168 heliostats (81%).
 - Photogrammetry estimates of intra-heliostat facet locations and *rough* canting angles.
 - Progress toward ground truth to cross-check heliostat metrology systems.
 - Estimates of drone trajectories relative to each heliostat.
 - Global drone trajectory estimate.
 - Estimate of heliostat orientations at the time of drone passage.

We pursuing fully automated video processing, for all flights in the data set.

More work is required. Key questions:

- Reliability of fully automated high-volume video processing?
- Drone position estimation accuracy?
- Slope measurement density and accuracy?
- Pointing measurement accuracy?

Our aim is to produce a robust solution that scales.

BACKUP SLIDES

Scale and Speed Notes

Number of points required to calibrate 10,000 heliostats:

10,000 heliostats, each has 35 facets, 100 points/facet, 10 measurements/heliostat \Rightarrow 350 million points.

And yet 100 points per facet is fairly coarse.

For comparison, SOFAST Tower measured over 170,000 points per facet when measuring a full NSTTF heliostat.

Speed required to calibrate 10,000 heliostats in a month:

10,000 heliostats, 10 measurements/heliostat \Rightarrow 100,000 measurements.

One month has 30 days \Rightarrow 3,333 measurements/day \Rightarrow ~3,400 heliostat/day.

Assume 8 measurement hours per day \Rightarrow 28,800 sec/day

 $(28,200 \text{ sec/day}) / (3,333 \text{ heliostat/day}) = 8.64 \text{ sec/heliostat} \Rightarrow ~9 \text{ sec/heliostat}.$

 \Rightarrow under 9 sec/heliostat, ~3,400 heliostat/day.

This estimate is optimistic, because it assumes 8 measurement hours per day.

Weather and other factors are likely to reduce this up time; therefore faster measurement speed is required to calibrate 10,000 heliostats in a month.

Heliostats Studied

Heliostats for pictures:

	<u>14W06</u>				<u>14W01</u>					<u>14E06</u>				
•	•	•	•	•	0	•	•	0	•		•	•	•	
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<u>5W01</u>

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<u>9E11</u>

œ

14W06

14E06

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2/10/2023

Distortion

- CSP mirrors can exhibit highly distorted reflections.
- Feature-based correspondence methods are vulnerable to confusion in mapping, given a distorted image.
- In contrast, SOFAST uses a pixel-based correspondence mapping scheme which is fundamentally immune to distortion.

Distortion Example

Reflected distortion depends on conditions.

Feature-Based Correspondence

Excessive distortion can cause feature recognition to fail.

SOFAST Fringes and Distorted Reflection

CSP Mirror Distortion Effects

Photograph, then crop

hm=50, cm=7

Varying Heliostat-to-mirror and camera-to-mirror distance:

Conclusion:

Distortion increases with heliostat-to-mirror distance, and also with camera-to-mirror distance.

110 m 90 m 70 m Camera to Mirror Distance 50 m 30 m 20 m 10 m 20 m 30 m 50 m 70 m 110 m Heliostat to Mirror Distance -----

Tower-to-Mirror Distortion

Single facet method:

Images captured at 25, 50, 100, and 150 m from the mirror. Lateral moves at each point, to simulate UAS scan.

Flat mirror

Photograph, then crop to facet

A flat mirror was used, so that:

(a) Non-imaging optic distortion would not occur, and

(b) Focal length mismatch would not be an issue.

Distortion: Tower-to-Mirror vs. Camera-to-Mirror Distance

** camera-to-mirror = 80 m

34

Modeling Mirror Distortion

After several derivation steps:

$$y_R = \frac{-2 d_T d_C \cos(\epsilon) \sin(\epsilon)}{d_T \cos(\theta_i - \epsilon) + d_C \cos(\theta_i + \epsilon)}$$

 $\theta_{\rm i}$ - $\theta_{\rm r}$ = 7 × 10⁻¹³ mrad

Modeling Mirror Distortion

\checkmark 1. Sign of the error is correct for our example.

2. Distortion grows linearly with slope error ϵ . (Within small angle assumption)

3. As the incidence angle
$$\theta_i$$
 becomes very high, distortion grows rapidly.

- 4. Distortion grows with both target-to-mirror and camera-to-mirror distance.
- 5. Distortion grows rapidly (with the square) of the total optical path length.
- 6. Both target-to-mirror and camera-to-mirror distance have a symmetric effect on distortion, if both are similar magnitude.

If $d_T >> d_C$:

$$y_R = \frac{-2 \, d_T d_C \,\epsilon}{\cos(\theta_i) [d_T + d_C]} \approx d_T \quad \Longrightarrow \quad y_R = \frac{-2 \, d_C \epsilon}{\cos(\theta_i)}$$

7. Matches long-distance tower-to-mirror observations.

This explains our observations:

- 1. For heliostat-to-heliostat reflections, distortion grows with both target-to-mirror and camera-to-mirror distance.
- 2. For tower-to-mirror reflections, distortion grows primarily with camera-to-mirror distance.

We are pursuing two types of ground truth:

- *Ground truth methods.* Simple and inherently accurate. Okay to be slow, inconvenient, or have limited scope.
- *Ground truth physical standards.* Physical objects with known metric properties. Ideally inexpensive and can be replicated anywhere.

A slight offset:

The effect of errors:

Ground Truth Methods

Strachan's Observation¹

BCS

¹ J. Strachan. Revisiting the BCS..., Sandia Technical Report SAND92-2789C, 1992.

Comparing Ground Truth Methods

<u>BCS</u>

Strengths:

- Simple.
- Directly measures the function of interest.

Limitations:

- Information-losing: Slope details lost.
- Reduced specificity, due to sun shape.
- Reduced signal strength with large heliostat-to-target distance.
- Day, clear sky required.

2f Returned Spot

<u>Strengths:</u>

- Very simple. No calibration calculations.
- Very low cost.
- For high-quality optics, sub-milliradian specificity.
- Robust to misalignment.
- Can assess astigmatism.

Limitations:

- Information-losing: Slope details lost.
- Requires large target (white).
- Requires long distance clear line of sight.
- Night only.
- Error magnitude only.

<u>2f Method Target Diameter</u>Function of mirror focal length and mirror error: $d = 8f\epsilon$ whered is target diameter (m)f is focal length (m) ϵ is maximum slope error (rad)Note independent of mirror size!

2f Color Target

Strengths:

- Very simple. No calibration calculations.
- Low cost.
- Day or night.
- Map of error across mirror surface.
- Sub-milliradian specificity.

Limitations:

- Requires large target.
- Requires long distance clear line of sight.
- Magnitude only; not X or Y components.

Work in Progress:

- How respond to misalignment?
- How best handle astigmatism?

Ground Truth Check: NSTTF Facet

BCS

Images are same scale. Image capture and ray trace both June 30, 2022 at 2:40 PM.

2f Returned Spot

2f Color Target 2f Target Direct Image

distance $\approx 200 \text{ m}$

Quantitative comparison is work in progress.

* NSTTF facets are adjustable. SOFAST was used to set focal length to 100 m, as measured by SOFAST.

-0.5

0.0

X (meter)

0.5

1.0

-0

- 3

Ground Truth Check: NSTTF Heliostat 5W01

<u>BCS</u>

SOFAST Ray Trace

Images are similar scale. Image capture and ray trace both June 30, 2022 at 2:06 PM.

Achieving this match required manual adjustment of SOFAST calibration parameters.

2f Returned Spot

2.0

1.5 -

1.0

0.5

0.0

-0.5

-1.0

-1.5

-2.0

(me

2f Returned Spot Image

* Heliostat focal length, astigmatism unknown.

SOFAST Ray Trace

-2.0 -1.5 -1.0 -0.5 0.0 0.5

X (meter)

1.0 1.5 2.0

2f Color Target

SOFAST

Slope Error Magnitude

- 4.0 - 3.5

- 3.0

-2.5

distance = 166.7 m

Note similarities (*) and discrepancies (*). Work in progress.

Output Summary: NSTTF Heliostat 5W01

y (meter) 1-

UN

Ground Truth Physical Standards

- Ground truth physical standards are objects where you know what the measurement result should be. If you use an instrument to take a measurement and the answer is not what's expected, you know the problem is with the instrument.
- The best physical ground truth standards are low cost and easy to replicate anywhere a Dewar with ice water for calibrating temperature is a familiar example.
- Other ground truth physical standards are standard references that are prepared by laboratories with certified equipment. These need to be checked periodically to ensure that they have not degraded.
- We are pursuing ground truth standards of both kinds.
 - 1. A **plano water pool** is easy to replicate and reliable if vibrations are not present. This appears well-known in CSP (e.g., T. März, et al. 2011). It has two disadvantages:
 - It only works face-up, and cannot be used to calibrate instruments that measure mirrors in other orientations.
 - It has virtually zero curvature, and thus cannot be used to assess an instrument's ability to measure curvature – an important aspect for CSP metrology.
 - 2. We are purchasing a <u>high-quality concave mirror</u> produced by a manufacturer of optics made to imaging tolerances. It is a monolithic glass disk 625 mm in diameter and 40 mm thick, with a concave spherical optical surface with a curvature radius R = 200 m, corresponding to a 100 m focal length. We have placed a contract with Cosmo Optics, and delivery is expected sometime this summer.

The returned spot test will be a simple, effective method for checking the mirror.

Plano Water Pool

<u>f = 100 m Calibration Mirror Design</u>

Plano Water Pool Test

Water pool ground truth measurement done on March 8, 2023.

Improvements:

- Better water setup.
- A photogrammetric screen calibration was done the same day.
- No occlusions in field of view of water pool.

Notes:

- Calibration parameters were optimized via gradient descent algorithm.
- Fitting equation was constrained to plano surface.

X RMS: 0.131496 mrad Y RMS: 0.113820 mrad Magnitude RMS: 0.173914 mrad

Optimized Slope Map

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